



OCT 07 2004  
UNITED STATES PATENT AND TRADEMARK OFFICE

967318.00001

CEA/JMH  
Page 1 of 2

APPLICATION NUMBER

10/797,610

FILING OR 371 (c) DATE

03/11/2004

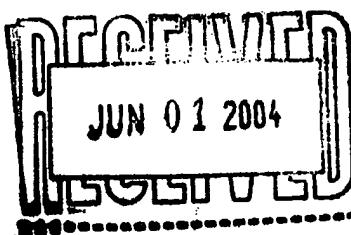
FIRST NAMED APPLICANT

Vladimir V. Smirnov

ATTORNEY DOCKET NUMBER

0348-0001

Reed Smith LLP  
East Tower-Suite 1100  
1301 K Street, N.W.  
Washington, DC 20005-3373



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

CONFIRMATION NO. 4066

FORMALITIES LETTER



\*OC000000012787279\*

Date Mailed: 05/27/2004

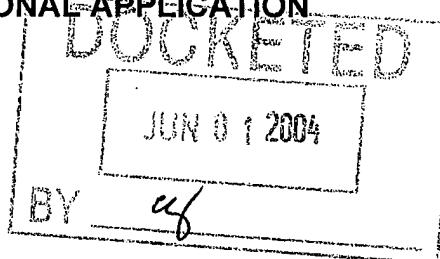
## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/08/2004 JBALINAN 00000078 10797610

FILED UNDER 37 CFR 1.53(b)

01 FC:8021 40.00 OP  
02 FC:1202 20.00 DA 178.00 OP

*Filing Date Granted*



### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration Surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$198** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1098** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

10/08/2004 JBALINAN 00000077 10797610

01 FC:1001 790.00 OP  
02 FC:1051 130.00 OP

- Total additional claim fee(s) for this application is **\$198**
  - **\$198 for 11 total claims over 20.**

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

*M*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SMIRNOV et al.

Serial No.: 10/797,610

Filed: March 11, 2004

For: Catalyst and Method for the Preparation of  
Chloroform and Chlorinated Paraffins

Art Unit: To Be Determined

Examiner: To Be Determined

Atty. Docket: 967318.00001

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Mail Stop Missing Parts  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the communication of May 27, 2004, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, Petition for Extension of Time Under 37 C.F.R. § 1.136, Recordation Form Cover Sheet, executed Assignment, the required fees of \$2,118 (\$770 basic filing fee, \$130 late declaration surcharge, \$198 for eleven total claims over twenty, \$980 for extension of time fee, and \$40 recordation fee), and a copy of the Notice of Missing Parts.

Please associate the enclosed declaration with the above-identified application.

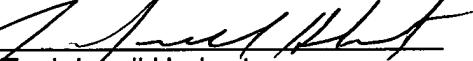
If there are any other fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-0622. If a fee is required

for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

REED SMITH, LLP

Date: 10/6/04

By:   
Toni-Juhell Herbert  
Reg. No. 34,348

1301 K Street, N.W.  
Suite 1100 – East Tower  
Washington, DC 20005  
202-414-9200

32256  
PATENT TRADEMARK OFFICE

# ReedSmith



Toni-Junell Herbert  
Direct Phone: 202.414.9203  
Email: therbert@reedsmit.com

Reed Smith LLP  
1301 K Street, N.W.  
Suite 1100 – East Tower  
Washington, D.C. 20005-3373  
202.414.9200  
Fax 202.414.9299

Mail Stop Missing Parts  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/797,610  
Filed: March 11, 2004  
Inventors: SMIRNOV et al.  
For: Catalyst and Method for the Preparation of  
Chloroform and Chlorinated Paraffins  
Docket No.: 967318.00001

Sir:

In response to the **Notice to File Missing Parts of Application – Filing Date Granted** dated May 27, 2004, Applicant(s) submit(s) the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application;
2. Petition for Extension of Time under 37 C.F.R. § 1.136;
3. Copy of the Notice to File Missing Parts;
4. Original Combined Declaration and Power of Attorney, executed by the inventor(s);
5. Cover sheet for Assignment (Form 017.PTO);
6. Assignment to NeurOK LLC, official recordation and return of which is respectfully requested;
7. Return postcard; and
8. Our check for \$2,118 to cover:

**\$ 770** Filing Fee for Patent Application (37 C.F.R. § 1.16)  
**\$ 198** Fee for excess claims (37 C.F.R. § 1.16)

LONDON ♦ NEW YORK ♦ LOS ANGELES ♦ SAN FRANCISCO ♦ WASHINGTON, D.C. ♦ PHILADELPHIA ♦ PITTSBURGH ♦ OAKLAND  
PRINCETON ♦ FALLS CHURCH ♦ WILMINGTON ♦ NEWARK ♦ MIDLANDS, U.K. ♦ CENTURY CITY ♦ RICHMOND ♦ LEESBURG

re e d s m i t h . c o m

# ReedSmith

**\$ 130** Surcharge for late filing of Declaration (37 C.F.R. § 1.16)  
**\$ 40** Assignment recordation fee(s) under 37 C.F.R. § 1.21)  
**\$ 980** For extension of time fees under 37 C.F.R. § 1.136

It is respectfully requested that the attached prepaid postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0622. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0622. A duplicate copy of this letter is enclosed.

Respectfully submitted,

REED SMITH, LLP

By: Toni-Junell Herbert  
Toni-Junell Herbert  
Reg. No. 34,348

Date: 10/6/05

1301 K Street, N.W.  
Suite 1100 – East Tower  
Washington, DC 20005  
202-414-9200

**32256**  
PATENT TRADEMARK OFFICE